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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/005478
				Filing Date	January 12, 1988
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383800013
Sheet	1	of	8		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date/Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
plw ↑		4305059	12/18/1981	Benton	
		4528843	7/9/1985	Freemy, Jr.	
		4529870	7/18/1986	Chaum	
		4734858	3/29/1988	Schlaefly	
		4755940	7/5/1988	Bracht et al.	
		4758063	7/18/1988	Chaum	
		4758084	7/18/1988	Chaum	
		4775835	10/4/1988	Yourick	
		4795890	1/3/1989	Goldman	
		4799158	1/17/1989	Shavit et al.	
		4812828	3/14/1989	Boston et al.	
		4827508	5/2/1989	Shear	
		4891503	1/2/1990	Jewell	
		4922521	5/1/1990	Krikke et al.	
		4928480	5/15/1990	Chaum	
		4935870	8/19/1990	Burk Jr., et al.	
		4947028	8/7/1990	Gorog	
		4947430	8/7/1990	Chaum	
		4949380	8/14/1990	Chaum	
		4972318	11/20/1990	Brown et al.	
		4982346	1/1/1991	Girouard et al.	
		4987583	1/22/1991	Chaum	
		4991210	2/5/1991	Chaum	
		4992840	2/12/1991	Dworkin	
		4998711	2/28/1991	Chaum	
		5025373	6/18/1991	Keyser Jr., et al.	
		5060153	10/22/1991	Nakagawa	
		5077807	12/31/1991	Johnson et al.	
		5105184	4/14/1992	Pirani et al.	
		5220501	8/15/1993	Lawlor et al.	
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		5305195	4/18/1994	Murphy	
		5311594	5/10/1994	Penzias	
	5319542	8/7/1994	King Jr., et al.		
	5321751	8/14/1994	Ray et al.		
	5336870	8/9/1994	Hughes et al.		
	5341429	8/23/1994	Stringer et al.		
	5351186	8/27/1994	Bullock et al.		
	5383113	1/17/1995	Kight et al.		
	5414833	5/8/1995	Hershey et al.		
plw ↓		5475685	12/12/1995	Bush	
Examiner Signature				Date Considered 1-8-07	

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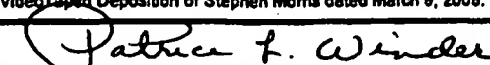
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			Filing Date	January 12, 1998	
			First Named Inventor	Levergood et al.	
			Art Unit	2145	
			Examiner Name	Patrice L. Winder	
Sheet	2	of	8	Attorney Docket Number	432383800013
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PLW		5521831	5/28/1998	Budow et al.	
		5535229	7/9/1998	Hahn Jr., et al.	
		5557516	9/17/1998	Hogan	
		5557518	9/17/1998	Rosen	
		5557798	9/17/1998	Skeen et al.	
		5590187	12/31/1995	Chen et al.	
		5592378	1/7/1997	Cameron et al.	
		5594810	1/14/1997	Flepp et al.	
		5598842	1/21/1997	Davis et al.	
		5598843	1/21/1997	Davis et al.	
		5604802	2/18/1997	Holloway	
		5621797	4/15/1997	Rosen	
		5623547	4/22/1997	Jones et al.	
		5642419	8/24/1997	Rosen	
		5664110	8/2/1997	Green et al.	
		5684111	9/2/1997	Nahan et al.	
		5694551	12/2/1997	Doyle et al.	
		5708780	1/13/1998	Levergood et al.	
		5724424	3/3/1998	Gifford	
		5727164	3/10/1998	Kaye et al.	
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		5808077	9/08/1998	Wecker	
		5812778	9/22/1998	Gifford	
		5826241	10/20/1998	Stein et al.	
		5848399	12/8/1998	Burke	
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		5909492	6/1/1999	Payne et al.	
		5920847	7/8/1999	Kolling et al.	
		6006199	12/21/1999	Berlin et al.	
		6023883	2/8/2000	Johnson et al.	
		6041316	3/21/2000	Allen	
		6049785	4/11/2000	Gifford	
		6195848	2/27/2001	Gifford	
		6199051	3/8/2001	Gifford	
	6205437	3/20/2001	Gifford		
	6419589	9/10/2002	Payne et al.		
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<i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i>		0172670EP	2/26/1986	Technion Research & Development Foundation	
		GB 2102608	2/2/1983	National Research Development Corporation	
		JP 410191	1/1992		
		JP 05-158983	8/25/1993	Omron Corp.	
		WO 91/16691	10/31/1991	Jonhig Limited	
		WO 93/10503	5/27/1993	Citibank N.A.	
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
<i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i> <i>plus</i>		PLEADINGS:			
		Amazon.com's Reply in Support of Renewed Motion to Stay			
		Deposition of G. Winfield Treese, dated October 27, 2004.			
		Deposition of Glenn Arthur Hausman with Exhibits (October 28, 2004)			
		Joint Claim Construction Chart (Patent Local Rule 4-501), filed December 27, 2004 with Appendix A			
		Memorandum Opinion dated April 7, 2005			
		Motion to Stay (Renewed) by Amazon.com. (Attachments: # 1 Affidavit # 2 Text of Proposed Order)(Nelson, Justin) (Entered: 04/05/2005)			
		Notice by Amazon.com re Answer to Amended Complaint, Counterclaim Of Rejection Of Claims 1-45 Of U.S. Patent No. 5,708,780			
		Order Denying Amazon's Motion to Stay Proceedings Pending Completion of the Reexamination.			
		Soverain's Disclosure of Asserted Claims and Preliminary Infringement Contentions dated June 3, 2004.			
		Soverain's Opposition to Amazon's Renewed Motion to Stay			
		Soverain's Reply to Amazon.Com's Amended Counterclaims, dated January 14, 2005.			
		Soverain's Reply to Response to Motion re: Motion to Stay			
		Soverain's Answer to Counterclaim (Amazon's Third Amended Counterclaim) by Soverain Software LLC.(Seraphine, Jennifer) (Entered:03/17/2005)			
		Soverain's Fourth Supplemental Responses to Amazon's First Set of Interrogatories (Nos. 1-14) dated March 21, 2005			
		Soverain's Responses to Amazon's First Set of Requests for Admission to Plaintiff Soverain Software (Nos. 1-100) dated March 21, 2005			
		Soverain's Responses to Interrogatory Nos. 22, 23, 26 and 36 of Amazon's Third Set of Interrogatories (Nos. 17-36) dated March 21, 2005			
		Third Supplement to Defendant Amazon's Initial Disclosures, dated March 4, 2005.			
		Transcript of the Markman Hearing Before the Honorable Leonard David United States District Judge, January 6, 2005.			
		VideoTaped Deposition of Andrew Payne dated March 11, 2005.			
	VideoTaped Deposition of Glenn Crocker with Exhibits (March 10, 2005)				
	VideoTaped Deposition of Glenn Trewitt dated January 25, 2005 (2 parts).				
	VideoTaped Deposition of Mark Levergood dated March 8, 2005 (2 Parts).				
	VideoTaped Deposition of Stephen Morris dated March 9, 2005.				
Examiner Signature				Date Considered: 1-8-07	

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				Art Unit	2145
				Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600013				
Sheet	4	of	8		
<i>Plus</i>				Amazon.com, Inc.'s Unopposed Motion for Leave to Amend its Answer to Include Allegations Regarding Stuff.com Amazon.com's Motion for Partial Summary Judgment that claims are indefinite under 35 U.S.C. 112	
				Amazon.com's Motion for Partial Summary Judgment that "14 claims 34-39, 482 claims 17-18 and 35-36, and 780 claims 1, 4, and 22-24 are invalid under 35 U.S.C. 102	
				Declaration of James E. Geringer in Support of Amazon.com, Inc.'s Motion for Leave to Amend its Answer and Counterclaims to Add Stuff.com	
				Deposition of Glenn M. Trewitt with Exhibits (January 25, 2005)	
				Deposition of Joshua Smith with Exhibits (March 2, 2005)	
				Deposition of Michael Lazzaro with Exhibits (March 9, 2005)	
				Deposition of Thomas Soulanille with Exhibits (March 14, 2005)	
				Exhibit 1 of Geringer Declaration: Excerpts of Deposition of Michael Kuniavsky	
				Exhibit 2 of Geringer Declaration: E-mail from Brooks Cyffer to Mike Kuniavsky (June 14, 1994)	
				Exhibit 3 of Geringer Declaration: Excerpts of Deposition of Richard Roake	
				Exhibit 5 of Geringer Declaration: Excerpts of Deposition of Andrew Payne	
				Exhibit 6 of Geringer Declaration: E-mail from Andrew Payne to Winfield Tressa, et al. (June 15, 1994)	
				Exhibit 7 of Geringer Declaration: Excerpts of Deposition of Winfield Tressa	
				Exhibit 8 of Geringer Declaration: Amazon.com, Inc.'s (Proposed) fourth Amended Answer, Affirmative Defenses, and Counterclaims to Sovereign Software, LLC's Complaint (Redlined Version)	
				Supplemental Disclosure of Preliminary Invalidity Contentions by Amazon and Gao dated July 28, 2004	
				Videotaped Deposition of Guy Henry Timothy Haskin with Exhibits (March 18, 2005)	
				Videotaped Deposition of Kevin Ming-Wai Kadala Hughes with Exhibits (March 21, 2005)	
				Videotaped Deposition of Michael Kuniavsky with Exhibits (February 22, 2005)	
				Videotaped Deposition of Phillip Hallam-Baker with Exhibits (March 11, 2005)	
				Videotaped Deposition of Robert Allen Olson with Exhibits (March 3, 2005)	
				PUBLICATIONS:	
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<i>Plus</i>				Burk, et al., "Digital Payment Systems Enabling Security and Observability", Computers & Security, 1989, pp. 399-415.	
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plw				Case Study: The CIRRU Banking Network; Comm. ACM 8, 28 pp. 767-8078; Aug., 1985.	
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plw				National Westminster Bank Group, "Clearing House Automated Payments System," pp. 1-28, no data.	
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plw				Needham, Roger M., "Adding Capability Access to Conventional File Servers"; Xerox Palo Alto Research Center, Palo Alto, California; no date.	
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Examiner Signature	Patrice L. Winder			Date Considered	1-8-07

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/005479	
			Filing Date	January 12, 1998	
			First Named Inventor	Levergood et al.	
			Art Unit	2145	
			Examiner Name	Patrice L. Winder	
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Examiner Signature		Patrice L. Winder		Date Considered 1-8-07	

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